PTO/SB/05 (05-03) (AW 07-03)
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## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

| Attorney Docket No. |   | No. | AOY-3970US            |  |  |  |  |
|---------------------|---|-----|-----------------------|--|--|--|--|
| First Inventor Mas  |   | Mas | ahiko TSUKUDA, et al. |  |  |  |  |
| Title               | Displacement Detection Method, Displacement Detection Device<br>Recording Apparatus for Performing REcording on Master of<br>Information Recording Medium |     |                       |  |  |  |  |

|  |  | Express Mail Label No. EV351885088US   |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
| See MPEF   | APPLICATION ELEMENTS  chapter 600 concerning utility patent application contents.  | ADDRESS TO:  Commissioner for Patents  Mail Stop Patent Application P.O. Box 1450  Alexandria, VA 22313-1450   |  |  |  |  |  |  |
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| 2. 🗌   | Applicant claims small entity status. See 37 CFR 1.27.   | (if applicable, all necessary)  a.  Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i.  CD-ROM or CD-R (2 copies); or  ii.  paper  c.  Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS |  |  |  |  |  |  |
|  | Specification [Total Pages (preferred arrangement set forth below - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix |  |  |  |  |  |  |  |
|  | - Background of the Invention - Brief Summary of the Invention   |  |  |  |  |  |  |  |
|  | Brief Description of the Drawings ( if filed)     Detailed Description     Claim(s)  | 9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney  |  |  |  |  |  |  |
|  | - Abstract of the Disclosure rawing(s) (35 U.S.C.113) [Total Sheets 8]   | 11.  English Translation Document (if applicable)  |  |  |  |  |  |  |
|  | ,  | 12.  Information Disclosure  |  |  |  |  |  |  |
| a. 🔲   | Newly executed (original or copy)  | 13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |  |  |  |  |  |  |
|  | Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)  DELETION OF INVENTOR(S)   |  |  |  |  |  |  |  |
| •  | Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR   | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  |  |  |  |  |  |  |
|  | 1.63(d)(2) and 1.33(b). Unexecuted Declaration Dication Data Sheet. See 37 CFR 1.76  | 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   |  |  |  |  |  |  |
|  |  | 17.  Other:  |  |  |  |  |  |  |
| 18. If a CON   | ITINUING APPLICATION, check appropriate box, and supp  | ly the requisite information below and in a preliminary amendment,   |  |  |  |  |  |  |
| □ Conti  | Sheatien Data Sheet under 37 CFR 1.76.   | ·  |  |  |  |  |  |  |
| Prior application information Examiner Group / Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional and the disclosure of the accompanying continuation or divisional and the disclosure of the accompanying continuation or divisional and the disclosure of the accompanying continuation or divisional and the disclosure of the accompanying continuation and the disclosure of the disclosure of the accompanying continuation and the disclosure of the disclosure of the accompanying continuation and the disclosure of the disclosure of the accompanying continuation and the disclosure of the disclo |  |  |  |  |  |  |  |  |
| under Box 5<br>reference. T  | bb, is considered a part of the disclosure of the accompan<br>the incorporation <u>can only</u> be relied upon when a portion  | of the prior application, from which an oath or declaration is supplied<br>ying continuation or divisional application and is hereby incorporated by<br>has been inadvertently omitted from the submitted application parts.               |  |  |  |  |  |  |
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| Name (Prin   | (VType) Lawrence E. Ashery   | Registration No Attorney/Agent) 34,515   |  |  |  |  |  |  |
| Signature  | Men 7  | Date October 28, 2003  |  |  |  |  |  |  |
| his collection o   | f information required by 37 CFR 1.53(b). The information is required  |  |  |  |  |  |  |  |

application. Confidentiality of governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an submitting the completed polication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** Docket No. Applicant(s): Masahiko Tsukuda, et al. **AOY-3970US** Serial No. Filing Date Examiner Group Art Unit To Be Assigned Herewith To Be Assigned To Be Assigned Invention: Displacement Detection Method, Displacement Detection Device and Recording Apparatus for Performing Recording on Master of Information Recording Medium I hereby certify that the following correspondence: Specificiation (24 pages) Formal Drawings (8 sheets) Declaration Japanese Priority Document **Utility Transmittal** Fee Transmittal Information Disclosure Statement & 2 References (Identify type of correspondence) Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 28, 2003 Kathleen Libby (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV351885088US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.

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|--|--|--|--------------------------------|--------------|---|----------------|-----------------|---|--|-------------|--------------|--|
|  |  |  |                                |              | Application Number                              |                |                 | To Be Assigned                                |  |             |              |  |
|  |  |  |                                |              | g Date  |                |                 | Herewith                                      |  |             |              |  |
|  |  |  |                                |              | Name  | d Inven        | tor             | Masahiko TSUKUDA, et al.                      |  |             |              |  |
|  |  |  |                                |              | niner N   | lame           | 1               | To Be Assigned                                |  |             |              |  |
| Applicant claims small entity status. See 37 CFR 1.27                          |  |  |                                |              | Init  |                | - i             | To Be Assigned                                |  |             |              |  |
| TOTAL AMOUNT OF PAYMENT (\$) 770   |  |  |                                |              | ney Do  | ocket No       | o. /            | AOY-3970US                                    |  |             |              |  |
| METHOD OF PAYMENT (check all that apply)                                       |  |  |                                |              |   |                |                 |   |  |             |              |  |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None                                   |  |  |                                |              | FEE CALCULATION (continued)  3. ADDITIONAL FEES |                |                 |   |  |             |              |  |
| Order   None   |  |  |                                |              | Entity  |                | I Entity<br>Fee |   |  |             |              |  |
|  |  |  |                                | Code         | (\$)  | Code           | (\$)            | Fee   | Description  |             | Fee<br>Paid_ |  |
| Deposit<br>Account<br>Number   | 18-0350  |  |                                | 1051<br>1052 | 130<br>50                                       | 2051           | 65<br>25        | Surcharge - late                              |  |             |              |  |
| Deposit<br>Account   | Deposit  |  |                                |              |   | 2052           | ing fee or      |   |  |             |              |  |
| Name (   | Name   |  |                                |              |   |                | 130             | Non-English spe                               |  |             |              |  |
| Charge fee(s) i  | is authorized t  | to: (check all that apply)                         | •                              | 1812         | 2,520   | 1812           | 2,520           | •   |  |             |              |  |
| □  | rpayments  |  |                                | 1804         | 920*  | 1804           | 920*            | Requesting publi<br>Examiner action           |  |             |              |  |
| Li Charge ree(s) r   | indicated belov  | during the pendency of<br>v, except for the filing | this application<br>fee to the | 1805         | 1,840*  | 1805           | 1,840           |   |  |             |              |  |
| above-identified   | u deposit acco   | unt.   |                                | 1251         | 110   | 2251           | 55              | Extension for rep                             | ly within first r  | month       |              |  |
|  |  | ALCULATION   |                                | 1252         | 420   | 2252           | 210             | Extension for reply within second month       |  |             |              |  |
| BASIC FILIN     Large Entity Sn  |  |  |                                | 1253         | 950   | 2253           | 475             | Extension for reply within third month        |  |             |              |  |
| Fee Fee Fe   | mall Entity<br>e Fee   | Fee Description                                    |                                | 1254         | 1,480   | 2254           | 740             | Extension for reply within fourth month       |  |             |              |  |
|  | ode (\$)   | . se Becompacin                                    | Fee Paid                       | 1255         | 2,010   | 2255           | 1,005           | Extension for repl                            | ly within fifth n  | nonth       |              |  |
| 1001 770 20<br>1002 340 20   |  | Utility filing fee                                 | 770                            | 1401         | 330   | 2401           | 165             | Notice of Appeal                              |  |             |              |  |
| 1003 530 20  |  | Design filing fee<br>Plant filing fee              |                                | 1402         | 330   | 2402           | 165             | Filing a brief in su                          | pport of an ap   | peal        |              |  |
| 1004 750 200   |  | Reissue filing fee                                 |                                | 1403         | 290   | 2403           | 145             | Request for oral h                            |  |             | $\vdash$     |  |
| 1005 160 200   | 05 80 1  | Provisional filling fee                            |                                | 1451         | 1,510   | 1451           | 1,510           | Petition to institute                         | e a public use   | proceeding  | <del></del>  |  |
|  | SUBTOTAL   | . (1)  | (\$) 770                       | 1452         | 110   | 2452           | 55              | Petition to revive – unavoidable              |  |             | $\vdash$     |  |
| 2 FYTRA CLAIM E  |  | ILITY AND REISSUE                                  | (4) 770                        | 1453         | 1,330   | 2453           | 665             | Petition to revive -                          |  |             |              |  |
| E. EXTRA CEATIN  | EES FOR U  | Extra Fee from                                     | Fee                            | 1501         | 1,330   | 2501           | 665             | Utility issue fee (o.                         | <u>  </u>  |             |              |  |
| Total Claims 10 -20** = 0 X Paid 0   |  |  |                                |              | 480   | 2502           | 240             | Design issue fee                              |  |             |              |  |
|  |  |  |                                |              | 640   | 2503           | 320             | Plant issue fee                               | -  |             |              |  |
| Claims 3   | _] -3** = [  | 0 X  | = 0                            | 1460         | 130   | 1460           | 130             |   |  |             |              |  |
| Auttiple<br>Dependent  |  | x  | = 0                            | 1807         | 50  | 1807           | 50              | Petitions to the Co                           |  |             |              |  |
| Large Entity S   | mall Entity  | <b>L</b>   | ·                              | 1806         |   |                |                 | Processing fee under 37 CFR 1.17(q)           |  |             |              |  |
| Fee Fee F  | ee Fee   | Fee Description                                    |                                |              | 180   | 1806           | 180             | Submission of Info                            |  |             |              |  |
|  | ode (\$)<br>202 9  | Claims in excess of 20                             |                                | 8021         | 40  | 8021           | 40              | Recording each pa<br>property (times nur      | mber of prope  | rties)      |              |  |
|  | 201 43   | Independent claims in e                            | excess of 3                    | 1809         | 770   | 2809           | 385             | Filing a submission                           | n after final rej  | jection (37 |              |  |
|  | 203 145  | Multiple dependent clai                            | m, if not paid                 | 1810         | 770   | 2810           | 385             | For each additiona                            | CFR § 1.129(a)) For each additional invention to be examined (37 CFR § 1.129(b)) |             |              |  |
|  | 204 43   | original patent                                    |                                | 1801         | 770   | 2801           | 385             | Request for Continu                           |  | (RCE)       |              |  |
| 1205 18 22   | 205 9 ** Reissue claims in excess of 20 and over original patent |  |                                | 1802         | 1802 900 1802 900                               |                |                 | Request for expedited examination of a design |  |             |              |  |
|  | Other fe   | l<br>e (speci                                      | ifv)                           |              | abblicatiou                                     | application    |                 |   |  |             |              |  |
| **or number previously   | Other fee (specify) *Reduced by Basic Filing Fee                 |  |                                |              | Paid SUBT                                       | OTAL (3)       | [(6) 6          | =   |  |             |              |  |
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| Name (Print/Type)  | (Agent)  | gent) 34,515 Telephone                             |                                |              |   | (610) 407-0700 |                 |   |  |             |              |  |
| Signature  |  |  |                                |              | re  | ·u             | 1               | Date October 28, 2003                         |  |             |              |  |
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